

Integrated Governance

RCI INDUSTRIES & TECHNOLOGIES LIMITED

General information about company

Scrip code	537254	
NSE Symbol	NOTLISTED	
MSEI Symbol	NOTLISTED	
ISIN	INE140B01014	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Half Yearly	
Date of Quarter Ending	30-09-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	true	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	true	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	false	Not Applicable during the quarter
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	false	Not Applicable during the quarter
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	true	
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	false	Not Applicable
Risk management committee	false	
Market Capitalisation as per immediate previous Financial Year	Any other	
Is SCORE ID Available ?	true	
SCORE Registration ID	compliance@rciind.com	
Reason For No SCORE ID		
Type of Submission	Original	
Remarks (website dissemination)		

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory						Textual Information(1)										
Whether the listed entity has a Regular Chairperson						false										
Whether Chairperson is related to MD or CEO						true	Disqualification of Directors under section 164 of the									
Sr no.	Title(Mr/Ms)	Name of the Director	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation
1	Mrs	Mamta Gupta	00503302	Non-Executive - Non Independent Director	Not Applicable		false				Inactive	NA		24-01-2009	08-05-2020	
2	Mr	Rajeev Gupta	00503196	Executive Director	Chairperson related to Promoter	MD	false				Inactive	NA		17-01-1992	30-09-2022	
3	Mr	Ritesh Kumar	08698796	Non-Executive - Independent Director	Not Applicable		false				Inactive	NA		08-05-2020	30-09-2021	
4	Mr	Mukesh Kumar Tyagi	08698810	Non-Executive - Independent Director	Not Applicable		false				Inactive	NA		08-05-2020	30-09-2020	

Text Block

Textual Information(1)	As on September 30, 2025, the Company ("Corporate Debtor") was undergoing Corporate Insolvency Resolution Process vide Order National Company Law Tribunal, New Delhi, Bench III dated 25 November 2022. Accordingly, in terms of Section 17 of Insolvency and Bankruptcy Code, 2016, the powers of Board of Directors have been suspended and are being exercised by Resolution Professional namely Mr. Brijesh Singh Bhadauriya having Registration IBBI/IPA-002/IPN01045/2020-2021/13385.
Textual Information(2)	Details not shared by the suspended director
Textual Information(3)	PAN not provided by the Director and consequently date of birth of director is also not known. Therefore, we have mentioned a dummy date of birth of the Director.

Annexure I

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson						true	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08698796	Ritesh Kumar	Non-Executive - Independent Director	Member	08-05-2020		
2	00503196	Rajeev Gupta	Executive Director	Member	17-01-1992		
3	08698810	Mukesh Kumar Tyagi	Non-Executive - Independent Director	Chairperson	08-05-2020		

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson						true	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08698796	Ritesh Kumar	Non-Executive - Independent Director	Member	08-05-2020		
2	00503302	Mamta Gupta	Non-Executive - Non Independent Director	Member	24-01-2009		
3	08698810	Mukesh Kumar Tyagi	Non-Executive - Independent Director	Chairperson	08-05-2020		

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson						true	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00503196	Rajeev Gupta	Executive Director	Member	17-01-1992		
2	08698796	Ritesh Kumar	Non-Executive - Independent Director	Member	08-05-2020		
3	08698810	Mukesh Kumar Tyagi	Non-Executive - Independent Director	Chairperson	08-05-2020		

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
No records available							

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson						true	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08698810	Mukesh Kumar Tyagi	Non-Executive - Independent Director	Chairperson	08-05-2020		
2	08698796	Ritesh Kumar	Non-Executive - Independent Director	Member	08-05-2020		
3	00503196	Rajeev Gupta	Executive Director	Member	17-01-1992		

Other Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					true	
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
No records available						

Annexure I

III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present*(All directors including Independent Director)	No. of Independent Directors attending the meeting*
No records available							

Annexure I

IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
No records available										

Annexure I

V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	true
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	true
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	true
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c.Stakeholders relationship committee	true
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	true
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	false
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	false
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Text Block

Textual Information(1)	Since the Company was undergoing the Corporate Insolvency Resolution Process (CIRP) during the quarter ended September 30, 2025, the powers of the Board of Directors remained suspended and vested with the Resolution Professional. Accordingly, no meetings of the Board of Directors or its Committees were held during the said reporting quarter.
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Annexure I

Sr	Subject	Compliance status
1	Name of signatory	Sarika Kaur
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		false
Other details of cyber security incidence or breaches or loss of data event		
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event
No records available		

Affirmations on Compliance Requirements for AGM (applicable only for the first half-year filing i.e., 2nd quarter)

III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is 'No' details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	NA	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	NA	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	NA	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	NA	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	NA	
6	Compliance with the conditions laid down for Secretarial Auditor or the person signing the Secretarial Compliance Report	24A(1A), 24A(1B), 24A(1C)	NA	
7	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
8	Whether 'Corporate Governance Report' disclosed in Annual Report	34(3) read with para C of Schedule V	NA	
Any other information to be provided				Textual Information(1)

Text Block

Textual Information(1)	As on 30.09.2025, the Company was under CIRP. Hence, some affirmations are not applicable on the Company.
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Annexure III

1	Name of signatory	Sarika Kaur
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	Sarika Kaur
Designation of person	Company Secretary and Compliance Officer
Place	Chandigarh
Date	28-10-2025

Investor Grievance Details

No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies- The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies					
Sr.No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
No records available					

Disclosure of Imposition of Fine or Penalty The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr.No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
No records available					

Disclosure of Updates to Ongoing Tax Litigations or Disputes The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				
Sr.No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
1	Income Tax Authority	04-10-2024	Reply to the Show cause notice filed by RP.	The said showcause notice was issued in the name of Satya Metals, however as per the records the Satya metals has been already merged in RCI Industries and accordingly the Pan of satya metals should be exist. The same issue was conveyed to the suspended director howevver, no effective response received from their side.
2	Income Tax Authority	26-10-2024	Issue Letter recovery of Outstanding Demand and appropriate Reply Filed by RP.	No communication received from the department till date
3	Income Tax Authority	18-11-2024	Show Cause Notice Under Section-144 under Income Tax Act, 1961 and Appropriate reply has been filed by Resolution Professional.	No communication received from the department till date
4	Income Tax Authority	30-11-2024	Notice under section-250 of Income Tax Act, 1961 and Appropriate reply has been filed by Resolution Professional.	No communication received from the department till date
5	Income Tax Authority	24-12-2024	Notice under section-144(1) of Income Tax Act, 1961 and Appropriate reply has been filed by Resolution Professional.	No communication received from the department till date
6	Income Tax Authority	20-06-2025	Notice u/s 250 of Income Tax Act 1961	No communication received from the department till date
7	Income Tax Authority	20-06-2025	Notice u/s 250 of Income Tax Act 1961	No communication received from the department till date
8	Income Tax Authority	23-06-2025	Notice u/s 250 of Income Tax Act 1961	No communication received from the department till date
9	Income Tax Authority	24-06-2025	Notice u/s 250 of Income Tax Act 1961	No communication received from the department till date
10	Income Tax Authority	24-06-2025	Notice u/s 250 of Income Tax Act 1961	No communication received from the department till date
11	Income Tax Authority	25-06-2025	Order under Sub-section (3) of section 148A of the Income-tax Act, 1961	No communication received from the department till date.
12	Income Tax Authority	14-07-2025	Order in Appeal DIN:ITBA/APL/M/250/2025-26/1078466937(1) Appropriate Reply has been submitted.	No communication received from the department till date.
13	Income Tax Authority	31-07-2025	[ITBA]Notice u/s 221of Income Tax Act 1961 DIN & Notice No : ITBA/RCV/S/221/2025- 26/1079126916(1) Appropriate Reply has been submitted.	No communication received from the department till date.
14	Income Tax Authority	15-09-2025	ITBA]Show Cause Notice u/s 270Aof Income Tax Act 1961. DIN & Notice No : ITBA/PNL/S/270A/2025-26/1080742274(1) Appropriate Reply has been submitted.	No communication received from the department till date.
15	Income Tax Authority	15-09-2025	[ITBA]Show Cause Notice u/s 271AAC(1). DIN & Notice No.: ITBA/PNL/S/271AAC(1)/2025-26/1080743297(1) Appropriate Reply has been submitted.	No communication received from the department till date.
16	Income Tax Authority	15-09-2025	[ITBA]Show Cause Notice u/s 272A(1)(d).DIN & Notice No.ITBA/PNL/S/272A(1)(d)/2025-26/1080742925(1) Appropriate Reply has been submitted.	No communication received from the department till date.
17	Income Tax Authority	15-09-2025	[ITBA]Show Cause Notice u/s 270Aof Income Tax Act 1961.DIN & Notice No. ITBA/PNL/S/270A/2025-26/1080743209(1) Appropriate Reply has been submitted.	No communication received from the department till date.
18	Income Tax Authority	29-09-2025	Order under section 271AAC(1) ITBA/PNL/F/271AAC(1)/2025-26/1081314985(1)	Reply is to be filed by the management
19	Income Tax Authority	29-09-2025	Order under section 270A ITBA/PNL/F/270A/2025-26/1081319699(1)	Reply is to be filed by the management
20	Income Tax Authority	29-09-2025	Order under section 270A ITBA/PNL/F/270A/2025-26/1081310497(1)	Reply is to be filed by the management