RCI INDUSTRIES AND TECHNOLOGIES LTD

An ISO 9001-2015 & 14001-2015 Certified Company

**Registered Office:** B - 97, All Heavens Building,
Wazirpur Industrial Area, Delhi - 110052, (India)
T: + 91-11-27372194/97 | E: info@rciind.com
F: + 91-11-27371334 | W: www.rciind.com
CIN: L74900DL1992PLC047055
Gold Member of Bureau of International Recycling, Belgium

**Ref. No.: RCIIND/BSE/2020** **Date: 30th June, 2020**

**To**
The Manager
Listing Department
**The BSE Limited,**
Phiroze Jee Jee Bhoy Towers,
Dalal Street, Mumbai – 400001

**BSE SECURITY CODE: 537254**

**Sub: Secretarial Compliance Report pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2020.**

Dear Sir,

Please find enclosed herewith the **Secretarial Compliance Report** pursuant to **Regulation 24A** of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended **31st March, 2020**.

You are requested to kindly take the same on record and oblige.

Thanking you

Yours Faithfully
For **RCI INDUSTRIES AND TECHNOLOGIES LIMITED**

For RCI Industries & Technologies Ltd.
Jsharma
Company Secretary

**JYOTI SHARMA**
**COMPANY SECRETARY & COMPLIANCE OFFICER**

RIKAYA By RCI

Works:

Plot No 84-85 , HPSIDC Industrial Area, Baddi,
District Solan, Himachal Pradesh-173205 (INDIA)

Khasra No. 377/175 & 378/175, Village Rakh Ram Singh,
Tehsil - Nalagarh, District Solan, Himachal Pradesh-174101 (INDIA)

# KIRAN & ASSOCIATES

(Company Secretaries)
492-B, Mahavir Block, Street no. 6
Bhola Nath Nagar, Shahdara, Delhi-95
Email: cskiranaswal@gmail.com
Mobile:- 8802779989


**Secretarial Compliance Report of RCI Industries & Technologies Limited for the year ended 31.03.2020**

We, ***Kiran & Associates***, have examined:

(a) all the documents and records made available to us and explanation provided by **RCI Industries & Technologies Limited** ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31.03.2020 ("Review Period") in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The Specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;

(e) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

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(g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder; and based on the above examination,

I/We hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under,

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

| Sr. No. | Action taken by | Details of violation | Details of action taken E.g. fines, warning letter, debarment, etc. Observations/ remarks of the Practicing Company Secretary, if any. | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|---|---|---|---|---|
| NA | | | | |

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No. | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned) | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|---|---|---|---|---|
| NA | | | | |

Place: Delhi
Date: 29.06.2020

**Kiran & Associates**
Kiran Sharma
(Prop.)
ACS No.: A37306
C P No.: 14168
**UDIN A037306B000397105**

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