

Ref. No.: RCIIND/BSE/2021-22

Date: 30th May, 2022

To

The Manager
Listing Department
The BSE Limited,
Phiroze Jee Jee Bhoy Towers,
Dalal Street, Mumbai – 400001

BSE SECURITY CODE: 537254

Sub: Secretarial Compliance Report pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2022.

Dear Sir,

Please find enclosed herewith the **Secretarial Compliance Report** pursuant to **Regulation 24A** of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended **31st March, 2022**.

You are requested to kindly take the same on record and oblige.

Thanking you

Yours Faithfully

For **RCI INDUSTRIES AND TECHNOLOGIES LIMITED**


PRIYA RASTOGI
COMPANY SECRETARY
M. No.: A18636

KIRAN & ASSOCIATES

(Company Secretaries)

492-B, Mahavir Block, Street no. 6

Bhola Nath Nagar, Shahdara, Delhi-95

Email: cskiranaswal@gmail.com

Mobile:- 8802779989

Secretarial Compliance Report of RCI Industries & Technologies Limited for the year ended 31.03.2022

We, **Kiran & Associates**, have examined:

(a) all the documents and records made available to us and explanation provided by **RCI Industries & Technologies Limited** ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31.03.2022 ("Review Period") in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The Specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;

(e) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;



(g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder; and based on the above examination,

I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under,

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

-Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc. Observations/ remarks of the Practicing Company Secretary, if any.	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	SEBI	In Financial Year 2014-15, AS-1, AS-2, AS-3, AS-9, AS-13, AS-15, AS-17, AS-18 & AS-26 issued by ICAI in preparation and presentation of the financial statement which in violation of Clause 49 of the listing agreement read with Regulation 103 of SEBI(LODR) Regulations 2015 and Section 21 of Securities Contracts (Regulation) Act, 1956.	Warning Letter issued	As advised by SEBI company disclosure in respect of noncompliance with requirement of AS-9 & AS-26 in the financial Statement of 2014-15 (quantum and impact of the same of financial result) in the financial statement for the FY 2021-22



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports 57	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NA				

Place: Delhi

Date: 28.05.2022



Kiran & Associates

Kiran Sharma

(Prop.)

ACS No.: A37306

C P No.: 14168

UDIN A037306D000416894